

## D. Born-Oppenheimer Approximation

[Max Born] [J. Robert Oppenheimer]

- Made approximations to justify separation (decoupling) of  $\Psi_{\text{molecule}}$  into electronic part and nuclear part

$$\Psi_{\text{molecule}}(\vec{R}; \vec{r}_1, \dots, \vec{r}_N) \approx \Psi_N(\vec{R}) \cdot \Psi_{\text{el}}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N; R) \quad (7)$$

nuclei fixed  
with separation  $R$

- Why is it a good approximation?

- How bonding comes out from solving  $\Psi_{\text{el}}$ ?



- How vibrational and rotational motions come out from  $\Psi_N(\vec{R})$ ?

Key idea

$m \ll \mu$        $\frac{m}{\mu} \sim 10^{-3} \text{ to } 10^{-5} \ll 1$   
 $\uparrow$                        $\swarrow$   
 electron                      nucleus

time scale of nucleus motion  $\gg$  time scale of electrons' motion  
 [slow]                                      [fast]

$\Rightarrow$  For electrons, in their time scale, they "see" a potential energy function due to the nuclei as if the nuclei are fixed with a separation  $R$

$\Rightarrow$  Solve Schrödinger Equation for electrons only with nuclei separated by  $R$  (with  $R$  being a parameter, not an operator any more) (8)

Meaning:

nuclei k.e. (for electronic problem, ignore for now)

$$\hat{H}_{\text{total}} \approx \left( -\frac{\hbar^2}{2\mu} \nabla_{\vec{R}}^2 \right) + \left[ \left( \sum_{i=1}^N \frac{-\hbar^2}{2m} \nabla_{\vec{r}_i}^2 \right) - \sum_{i=1}^N \left( \frac{Z_A e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{R}_A|} + \frac{Z_B e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{R}_B|} \right) + \frac{Z_A Z_B e^2}{4\pi\epsilon_0 R} + \sum_{i < j} \frac{e^2}{4\pi\epsilon_0 |\vec{r}_i - \vec{r}_j|} \right]$$

$\vec{R}_A, \vec{R}_B$  are regarded fixed  $R = |\vec{R}_A - \vec{R}_B|$   
a number for fixed  $R$

involves electrons  $\rightarrow$   
 $\{\hat{p}_i, \hat{r}_i\}$  only

$$= \left( -\frac{\hbar^2}{2\mu} \nabla_{\vec{R}}^2 \right) + \left[ \hat{H}_{\text{electronic}}(\vec{p}_1, \dots, \vec{p}_N; \vec{r}_1, \dots, \vec{r}_N; R) \right] \quad (9)$$

consider this only after solving

$\hat{H}_{\text{el}}$  Schrödinger problem

involves only electronic variables (with  $R$  being a constant)

[Important: Will be back to Eq. (8) later]

Aside: For those who like math...

[downgraded from operator]  
treated as a constant  
for now

$$\hat{H} \approx \underbrace{\frac{-\hbar^2}{2\mu} \nabla_{\vec{R}}^2}_{\text{nuclear separation}} + \underbrace{\hat{H}_{\text{electronic}}(\vec{p}_1, \dots, \vec{p}_N; \vec{r}_1, \dots, \vec{r}_N; R)}_{\text{electrons' variables only}}$$

Nuclear Variables Only

Separation of Variables using Eq.(9) and

$$\Psi_{\text{molecule}}(\vec{R}; \vec{r}_1, \dots, \vec{r}_N) = \Psi_N(\vec{R}) \cdot \Psi_{\text{el}}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N; R)$$

⇒ an equation for  $\Psi_{\text{el}}(\vec{r}_1, \vec{r}_2, \dots, \vec{r}_N; R)$  (bonding) [see Eq.(10)]  
an equation for  $\Psi_N(\vec{R})$  (vibrational, rotational effects)

## 2-step process to solve Eq. (9)

Step 1: Solve electronic part (with  $R$  as parameter)

$$\hat{H}_{\text{electronic}} \psi_{\text{el}}(\vec{r}_1, \dots, \vec{r}_N; R) = E_{\text{electronic}}(R) \psi_{\text{el}}(\vec{r}_1, \dots, \vec{r}_N; R) \quad (10)$$

- Many-electron QM problem (c.f. multi-electron atoms)

- Which  $R$  to use? [Try different  $R$ 's. One  $R$  is one problem to solve!]



Eq. (10) gives  $E_{\text{el}}(R_1)$



Eq. (10) gives  $E_{\text{el}}(R_2)$



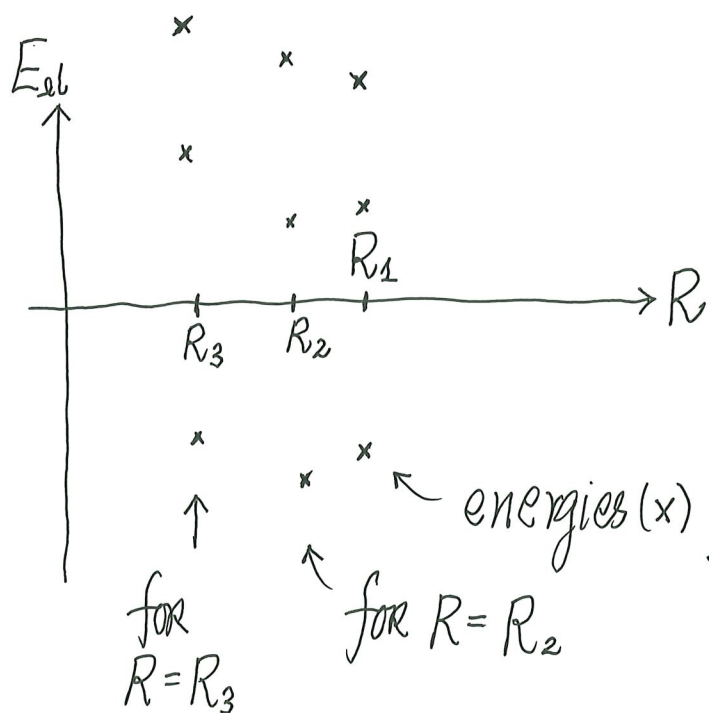
Eq. (10) gives  $E_{\text{el}}(R_3)$

and  
so  
on

⋮

Each is a different  
QM problem for  
solving Eq. (10)

Key  
idea



Labels value of  $R$  used in solving TISE<sup>†</sup>

$$\hat{H}_{\text{electronic}} \Psi_{\text{el}}(\vec{r}_1, \dots, \vec{r}_N; R) = E_{\text{el}} \Psi_{\text{el}}(\vec{r}_1, \dots, \vec{r}_N; R) \quad (10)$$

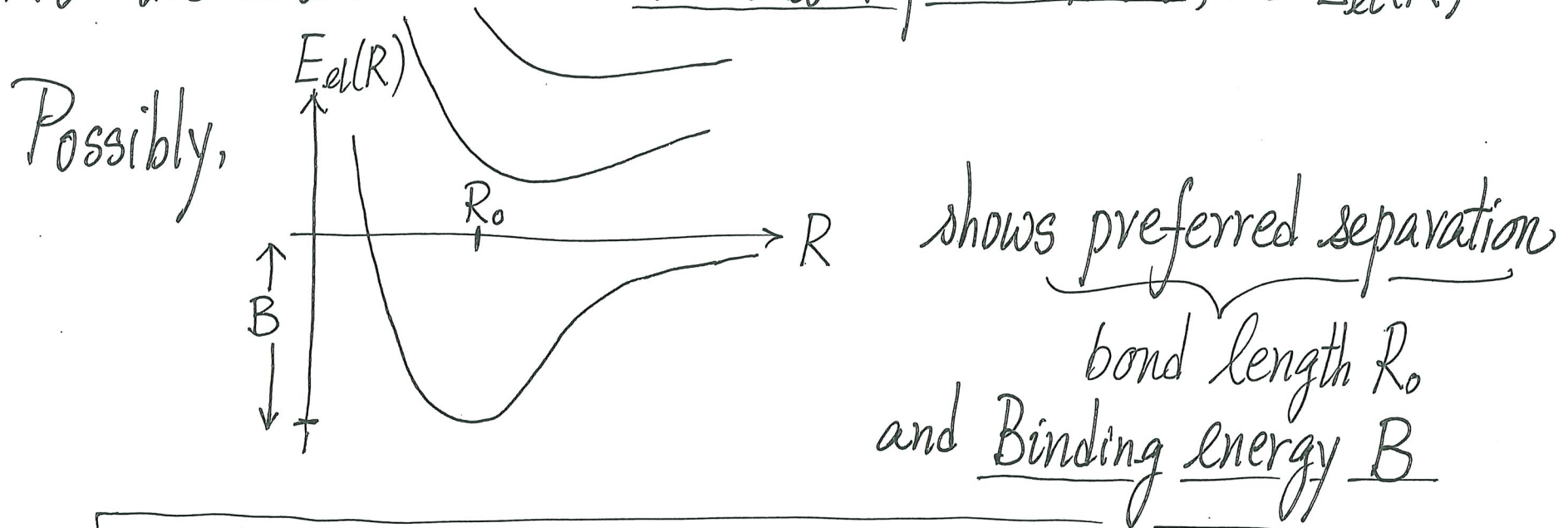
Recall: Solving TISE gives many energy eigenvalues

↑ ↑ ↑  
Different  $\hat{H}_{\text{electronic}} \Rightarrow$  Different TISE's

Repeat calculations for many different inter-nucleus separations  $R$   
 $\Rightarrow$  many curves  $E_{\text{el}}(R)$

<sup>†</sup> Eq.(10) is a many-electron TISE just like atomic problems. How can we "solve" it?  
As in atomic problems, we need to make further approximations.

- At the end, we have how  $E_{el}$  depends on  $R$ , i.e.  $E_{el}(R)$



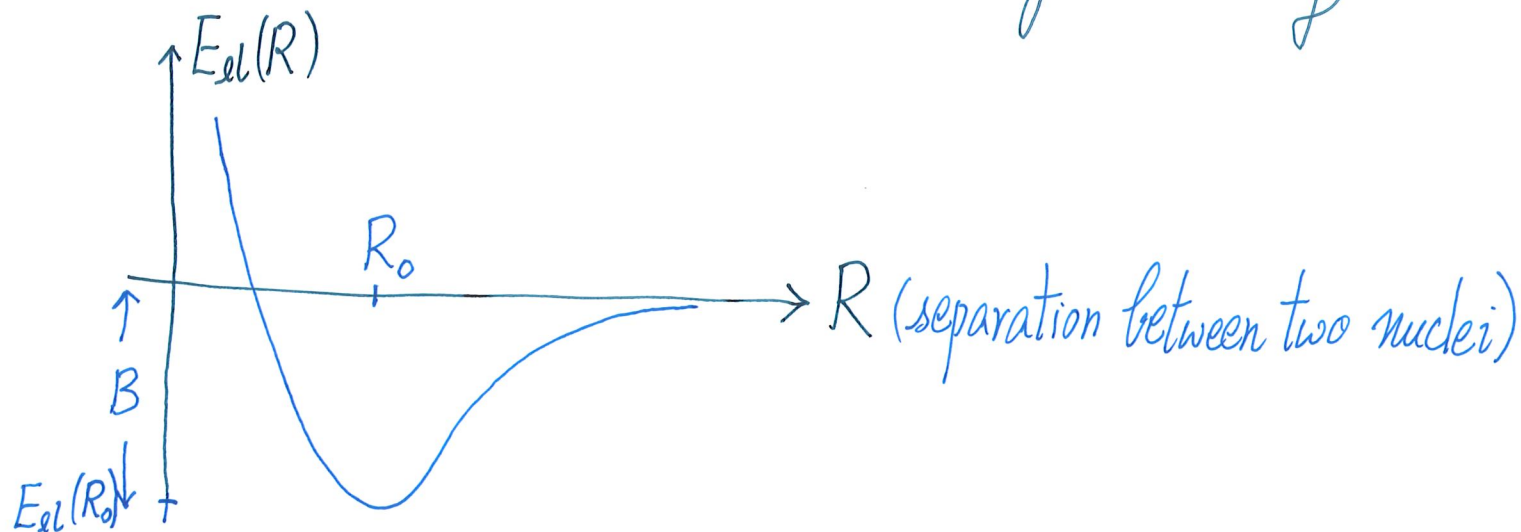
∴ **Electronic problem gives the Quantum Physics of Chemical Bonds!**

Q: Why does the CO (carbon monoxide) molecule have bond length  $1.128 \text{ \AA}$ ?

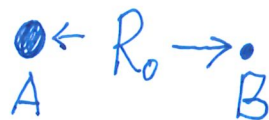
We have  $\hat{H}_{\text{electronic}}^{(\text{CO})} \rightarrow \text{TISE} \rightarrow E_{el}(R) \rightarrow R_0$

electrons behavior ( $|\psi_{el}|^2$ ) that selects preferred separation  $R_0$

Due to the electrons, there emerges binding



If nuclear motion is ignored, electrons [their distribution] select a certain bond length  $R_0$  and certain bond strength  $B$



and energy of the molecule would be  $E_{el}(R_0)$

The picture of the molecule up to here is

[not true]



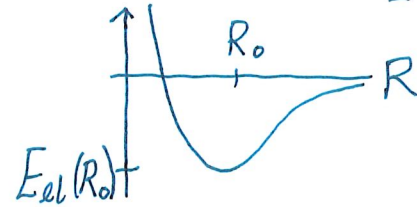
[no vibration, no rotation YET!]



Step 2 : Go back to  $\hat{H}_{total}$  and consider nuclear motion  
 with  $\frac{-\hbar^2}{2\mu} \nabla_R^2$  terms new physics in molecules

At this point, the electrons are done with their duties.

- What's left is the relative motion problem.



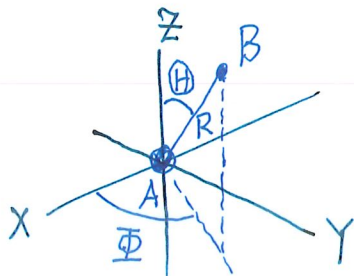
3D One-body of mass  $\mu$  under the influence  
 of  $E_{el}(R)$

depends on separation  $R$  only (spherically symmetric)

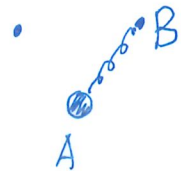
[Generally  $R, \Theta, \Phi$  of mass  $\mu$ ]

capital symbols used for nucleus B's coordinates

Picture

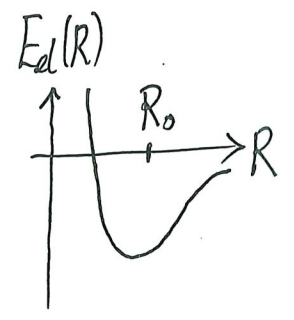


- rod could rotate

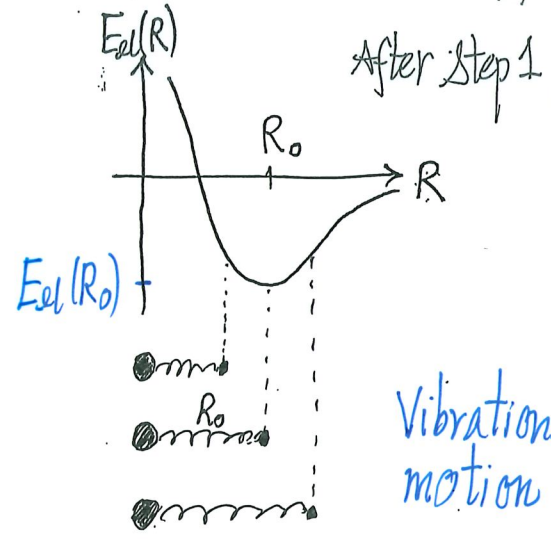


- could vibrate (radial direction)

The situation has a 2D analogy (easier to visualize)



Radial motion is approximately harmonic oscillator



Near minimum,

$$E_{el}(R \approx R_0) = E_{el}(R_0) + \frac{1}{2} \left( \frac{d^2 E_{el}}{dR^2} \right)_{E_0} (R - R_0)^2$$

$$= E_{el}(R_0) + \frac{1}{2} k (R - R_0)^2$$

$$k \equiv \frac{1}{2} \left( \frac{d^2 E_{el}}{dR^2} \right)_{E_0} \quad (\text{due to electrons})$$

$R_0$  = equilibrium separation (bond length)  
 = "natural length" of spring (bond)

"Spring Constant" ?

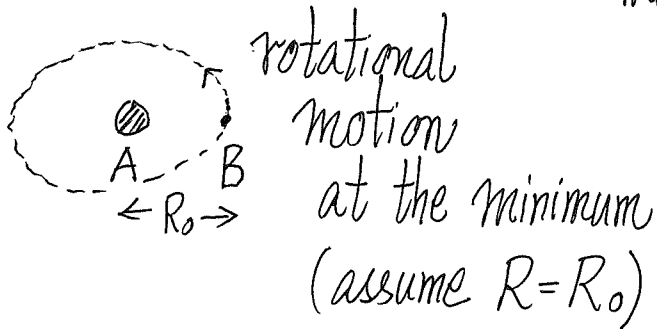
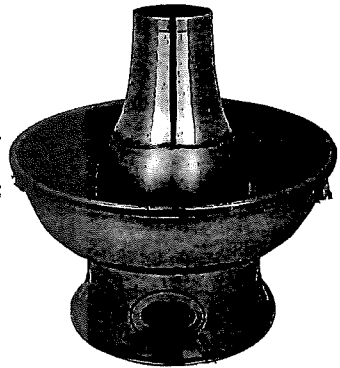
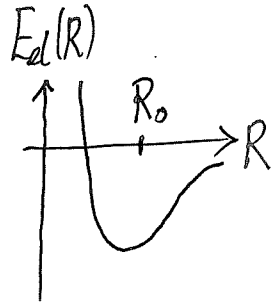
- Curvature near  $R_0$

stiffness of the chemical bond  
QM of harmonic oscillator  
 allowed energies are

$$(n + \frac{1}{2}) \hbar \omega$$

$$= (n + \frac{1}{2}) \hbar \sqrt{\frac{k}{\mu}} \quad (11)$$

2D analogy



Angular Motion

rotational motion

"2D rigid rotor"

means  $R=R_0$

Allowed energies are

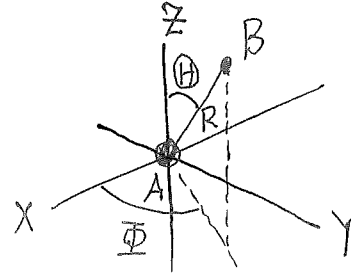
$$\frac{L^2}{2I} = \frac{L^2}{2\mu R_0^2}$$

$\uparrow$   
moment of inertia

Diatomic Molecules

Angular motion is rotational motion

"3D rigid rotor"



B freely moves on sphere of radius  $R_0$

Allowed energies are

$$\frac{l(l+1)\hbar^2}{2\mu R_0^2} \quad (12)^+$$

"rotational levels"

[Chemists use  $\frac{J(J+1)\hbar^2}{2\mu R_0^2}$ ]

At the end (Steps 1+2), an allowed energy of a molecule is specified by

$$E_{\text{total}}^{(\text{molecule})} = \underbrace{E_{\text{el}}(R_0)}_{\text{electronic part}} + \underbrace{\left(n + \frac{1}{2}\right) \hbar \omega}_{\text{vibrational part}} + \underbrace{\frac{l(l+1) \hbar^2}{2\mu R_0^2}}_{\text{rotational part}} \quad (13)$$

which vibrational state
which rotational state

[Key result without going through the mathematics!]

①

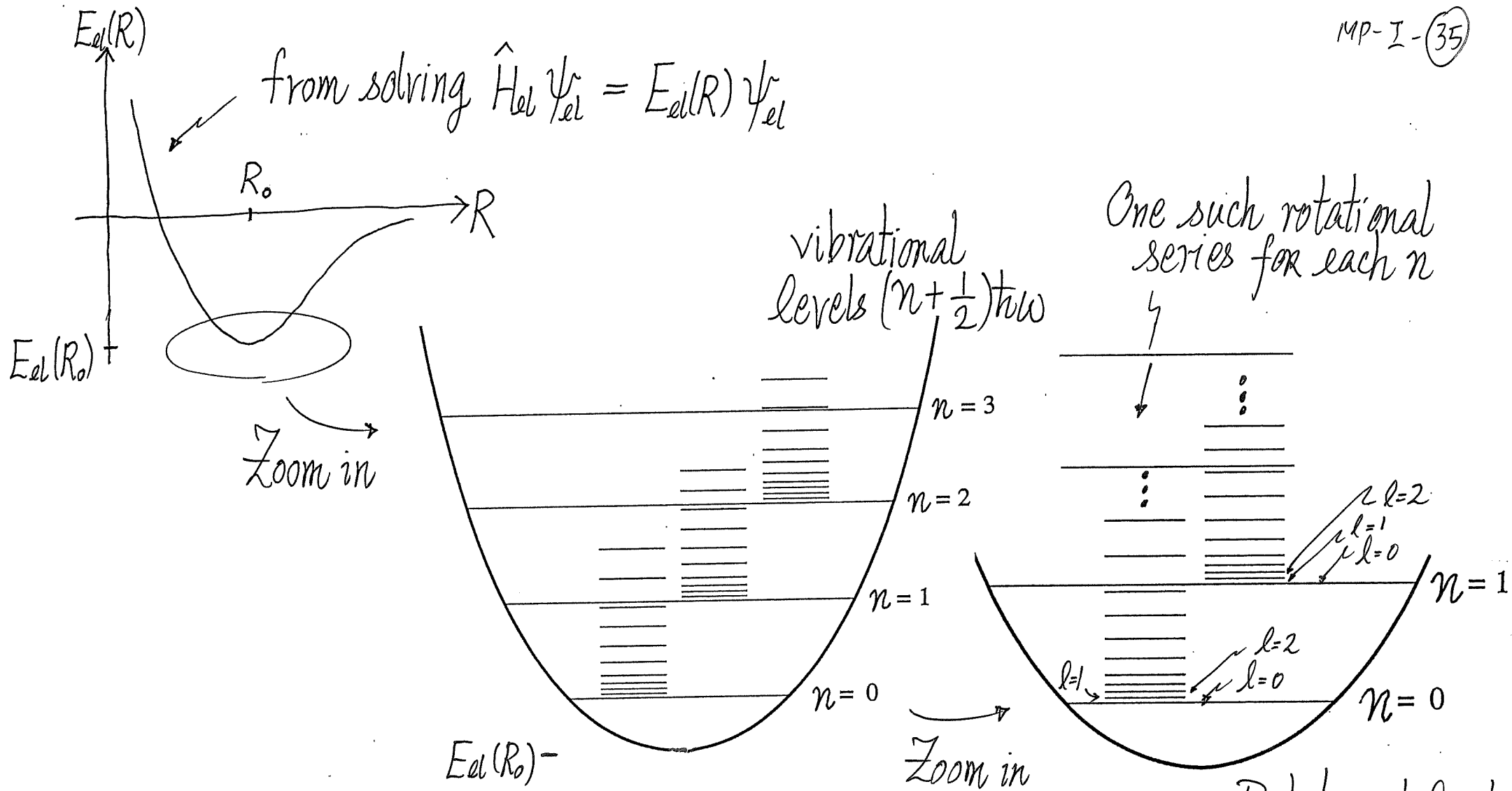
electrons bind the atoms at set the scale of the binding,  $E_{\text{el}}(R_0)$ , the spring constant  $k$  (thus  $\omega$ ) and equilibrium bond length  $R_0$  for...

②

... the vibrational motion of the nuclei with  $\omega = \sqrt{\frac{k}{\mu}}$  ...

③

... and the rotational motion of the nuclei



An energy diagram showing the rotational levels associated with each vibrational state in diatomic molecule.

Picture of Key Result Eq.(13)

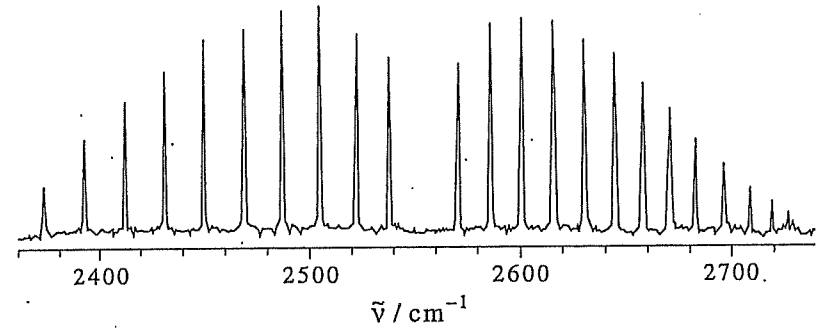
$$\frac{l(l+1)\hbar^2}{2I}$$

[each  $l$ ,  $(2l+1)$  values of  $m$ ]  
degeneracy

## Experimental consequences

- Transitions between one molecular state  $[n, l]$  to another state  $[n', l']$  give finger-print molecular spectrum of the molecule

IR range



Rotational-vibrational Spectrum of HBr  
 [Questions: Get  $R_0$  and  $\omega = \sqrt{\frac{k}{\mu}}$  out from spectrum?]

- Must understand the physical picture behind Born-Oppenheimer approximation first, before going into more detail
- It is important also because the same physics works in understanding solids. ["Solid is just a huge molecule"]
- Eq.(13) is the key result for understanding molecular spectrum  
[c.f. atomic spectra motivated the development of QM]
- This ends the discussion on the Big Picture of the QM theory of molecular states